

To,
K.M.Jain Stock Brokers Private Limited
1306, Marathon Icon, Off. G.K.Marg,
Lower Parel (West), Mumbai. 400013

Sir,

Sub: Self declared Net worth

This is to inform you that my "Self-Declared" Net worth as on 31 March 20____ is Rs _____ (in words Rupees _____)

The above may be used for the purpose of setting my exposure as per the new compliance/penalties norms uniformly declared across all exchanges as per circular issued on 6 Nov 2017 (NSE Ref # NSE/INSP/36248 – CIR REF # 323/2017) which reads as follows:

ANNEXURE-I: PENALTIES/DISCIPLINARY ACTIONS FOR OTHER PROCEDURAL VIOLATIONS

Sr. No.	Details of contravention	Penalty/Disciplinary Action
15	Non-compliance with PMLA requirements	
	(g) Disproportionate Exposure	Upto Rs 200000/-

Having taken cognizance of the above compliance, I/we instruct you to set our exposure limits as per your policy.

Thanks,
Yours Truly,

Client UCC Code # _____

Name of the client: _____

Place: _____

Date: _____